Percutaneous Implantation of the CoreValve® Self-Expanding Aortic Valve Prosthesis in Patients With Severe Aortic Stenosis: Early Experience in Spain

Pablo Avanzas, a Antonio J. Muñoz-García, b José Segura, c Martín Pan, c Juan H. Alonso-Briales, b Íñigo Lozano, a César Morís, a José Suárez de Lezo, c and José M. Hernández-García a

aÁrea del Corazón, Hospital Universitario Central de Asturias, Oviedo, Spain
bServicio de Cardiología, Hospital Clínico Universitario Virgen de la Victoria, Málaga, Spain
cServicio de Cardiología, Hospital Universitario Reina Sofía, Córdoba, Spain

Introduction and objectives. The aim of the study was to describe early experience and medium-term follow-up with the CoreValve® self-expanding aortic prosthesis at 3 Spanish hospitals.

Methods. The study included patients with severe symptomatic aortic stenosis. Other inclusion criteria were: aortic valve area <1 cm² (≤0.6 cm²/m²); aortic valve annulus diameter in the range 20-27 mm; diameter of the ascending aorta at the level of the sinotubular junction ≤40 mm (small prosthesis) or ≤43 mm (large prosthesis), and femoral artery diameter >6 mm.

Results. The study included 108 patients with a mean age of 78.6 (6.7) years, a mean aortic valve area of 0.63 (0.2) cm² and a mean logistic EuroSCORE of 16% (13.9%) (range, 2.27%-86.4%). After valve implantation, the maximum echocardiographic transaortic valve gradient decreased from 83.8 (23) to 12.6 (6) mm Hg. No patient presented with greater than grade-2 residual aortic regurgitation on angiography. The procedural success rate was 98.1%. No patient died during the procedure. Definitive pacemaker implantation was carried out for atrioventricular block in 38 patients (35.2%). At 30 days, all-cause mortality and the rate of the combined end- point of death, stroke, myocardial infarction, or referral for surgery were 7.4% and 8.3%, respectively. The estimated 1-year survival rate calculated using the Kaplan-Meier method was 82.3% (for a median follow-up period of 7.6 months).

Conclusions. Our early experience indicates that percutaneous aortic valve replacement is a safe and practical therapeutic option for patients with severe aortic stenosis who are at a high surgical risk.

Key words: Aortic valve stenosis. Valvular prosthesis. Cardiac catheterization.

See editorial on pages 131-3

Correspondence: Dr. C. Morís de la Tassa.
Área del Corazón, Hospital Universitario Central de Asturias, Celestino Villamil, s/n. 33006 Oviedo, Spain.
E-mail: cesarmoris@gmail.com

Received March 25, 2008.
Accepted for publication August 7, 2009.

Implante percutáneo de la prótesis valvular aórtica autoexpansible CoreValve® en pacientes con estenosis aórtica severa: experiencia inicial en España

Introducción y objetivos. El objetivo del estudio es describir la experiencia inicial con la prótesis aórtica autoexpansible CoreValve® en tres hospitales españoles así como el seguimiento a medio plazo.

Métodos. Incluimos en el estudio pacientes con estenosis aórtica severa sintomática. Los criterios adicionales de inclusión fueron: área de válvula aórtica < 1 cm² (≤ 0,6 cm²/m²); anillo valvular aórtico comprendido entre 20 y 27 mm; diámetro de la aorta ascendente a nivel de la unión sinotubular ≤ 40 (prótesis pequeña) o ≤ 43 mm (prótesis grande), y diámetro de la arteria femoral > 6 mm.

Resultados. Incluimos a 108 pacientes con edad media de 78,6 ± 6,7 años y área valvular aórtica media de 0,63 ± 0,2 cm² con EuroSCORE logístico de 16% ± 13,9% (intervalo, 2,27%-86,4%). Tras el implante valvular, el gradiente transaórtico máximo medido por ecocardiograma descendió de 83,8 ± 23 a 12,6 ± 6 mmHg. Ningún paciente presentó insuficiencia aórtica angiográfica residual superior a grado 2. La tasa de éxito del procedimiento fue del 98,1%. Ningún paciente falleció durante el procedimiento. Se implantó marcapasos definitivo por bloqueo AV a 38 pacientes (35,2%). La mortalidad y el objetivo combinado de muerte, ictus, infarto de miocardio y conversión a cirugía a los 30 días fueron del 7,4 y el 8,3%, respectivamente. La supervivencia estimada al año (mediana de seguimiento, 7,6 meses) por el método de Kaplan Meier fue del 82,3%.

Conclusiones. Nuestra experiencia inicial indica que la sustitución valvular aórtica percutánea es una opción terapéutica segura y factible para los pacientes con estenosis aórtica severa de alto riesgo quirúrgico.

Palabras clave: Estenosis valvular aórtica. Prótesis valvular. Cateterismo cardíaco.
INTRODUCTION

Aortic valve stenosis is the most common valve lesion in Europe and the prognosis using medical treatment is very poor.1 Surgical replacement of the aortic valve is the treatment of choice in patients with severe aortic stenosis. In the majority of patients the relief of symptoms and an increase in survival is achieved by this procedure. However, owing to the fact that the most common aetiology for aortic stenosis in Western countries is degenerative, the patients are normally elderly (in adults aged ≥75 years, aortic stenosis is present in 4.6% of cases),2 so that they often have concomitant pathologies which increase surgical risk and post-operative morbidity. In high-risk patients with left ventricle dysfunction, concomitant coronary disease, previous coronary revascularization surgery, chronic obstructive pulmonary disease and/or who are of advanced age the mortality rates for surgical aortic valve replacement increases significantly.3-4 In fact, according to Euro Heart Survey data, up to a third of patients with aortic stenosis were rejected for surgery because they presented excessive associated co-morbidities or a short life expectancy.5 This is why aortic valve prostheses have been designed, since they can be implanted by means of catheters and currently constitute a therapeutic alternative for high operative risk patients with severe aortic stenosis who have been rejected for surgery.6-12

The aim of this study is to present the initial experience in 3 Spanish centres of the percutaneous implantation of the CoreValve® self expanding aortic valve prosthesis. We have analyzed the hospital results and follow-up in the medium term.

METHODS

Design

Multi-centre prospective study.

Study Population

After the evaluation of each case by a multidisciplinary team (clinical cardiologists, cardiac interventionists, and surgeons), a total of 108 patients (43 in Malaga, 34 in Cordoba, and 31 in Asturias) suffering from severe symptomatic aortic stenosis which posed high surgical risk (n=44) or who rejected surgical intervention (n=64) were included in the study. To assess the suitability of patients the following examinations were performed before the procedure: coronariography, aortography with injections in the iliofemoral region, transthoracic and/or transoesophageal echocardiogram (if the transthoracic examination proved inconclusive), and in some cases CT with the injection of a contrast agent. Operative risk was calculated using the logistic EuroSCORE.13

Inclusion criteria: patients with severe symptomatic aortic stenosis affecting an area <1 cm2; diameter of the aortic ring measured by transthoracic and/or transoesophageal echocardiogram ≥20 mm and ≤27 mm; diameter of the ascending aorta at the level of the sinotubular junction ≤40 (small prosthesis) or ≤43 mm (large prosthesis). Exclusion criteria: hypersensitivity or contraindication to the administration of any of the medications needed during the procedure; myocardial infarction during the 30 days prior to the procedure; coronary angioplasty during the 15 days preceding the procedure or scheduled during the month following the procedure; presence of thrombi in left cavities; ejection fraction <20%; recent stroke; sepsis or endocarditis; aneurisms of the aorta; coagulopathy or haemorrhagic diathesis; and severe mitral regurgitation with inversion of pulmonary vein flow.

Description of the Device

The device (CoreValve ReValving System®) consists of 3 elements: a) triple-valve aortic prosthesis made of pig pericardium, which is fitted on top of a self expanding nitinol stent—there are 2 valve sizes (Figure 1), one for aortic rings from 20 to 23mm in diameter (small prosthesis, the portion inserted into the native ring measuring 26 mm) and another for 24 to 27 mm rings (large prosthesis, the portion inserted in the native ring measuring 29 mm)—; b) 18 F releasing catheter; and c) charging device.

Procedure

Taking into account the fact that the training period required for learning to perform valve implants (all the procedures were supervised by professionals) and that initially the availability of the professionals who

ABBREVIATIONS

AR: aortic regurgitation
AMI: acute myocardial infarction
BMI: body mass index
EuroSCORE: European System for Cardiac Operative Risk Evaluation
NYHA: New York Heart Association Class
PCI: percutaneous coronary intervention
implantation the gradient between the left ventricle and the aorta was measured and the presence of residual aortic regurgitation was evaluated. In cases of aortic regurgitation of an angiographic degree >2, postdilation and/or traction of the valve was performed. The procedure was completed by percutaneous closure of both femoral arteries. The femoral artery through which the device was implanted was closed using the previously implanted PROSTAR XL® and the contralateral femoral artery was closed using PERCLOSE® or ANGIOSEAL®. When access was subclavian, the artery was surgically exposed and then punctured using the Seldinger technique, and the procedure was identical to that used for femoral access. Finally, the artery was surgically closed.

**Anti-platelet and Anti-thrombotic Medication**

Where there were no contraindications, all the patients were administered 100 mg of aspirin before the procedure and indefinitely thereafter. In addition, patients received a loading dose of 300 mg of clopidogrel (administered a few days before the procedure) and subsequently 75 mg over a period of at least 3 months. During the procedure sodium heparin was administered, adjusting the dose for weight (80–100 U/Kg).

**Post-procedure Care Measures**

After the procedure the patients remained in hospital and were continuously monitored in a
critical care unit for 48 hours, when they were transferred to the ward and the transitory pacemaker was removed. If any AV (atrial-ventricular) block episodes were recorded during this period, a definitive pacemaker was implanted.

Follow-up

All the patients were followed up 30 days later and every 6 months thereafter. The average period for follow-up was 7.6 months.

Definitions

The following definitions apply: success of the procedure means the correct implantation and normal functioning of the prosthesis (evaluated by angiography and echocardiogram), in the absence of mortality during the procedure. Vascular complications: aortic dissection, failure of the percutaneous closure device, iliac or femoral rupture, haemorrhage requiring surgery, and or red blood cell transfusion. Mortality at 1 month: death due to any cause which occurred in the hospital or during the month following the procedure. Mortality after the first month: death due to any cause which occurred after the first month following the procedure. Total mortality: this is the sum of both mortality figures.

Statistical analysis

The data is expressed as the mean (standard deviation) in the case of continuous variables and as a number (percentage) in the case of categorical variables. A basic descriptive analysis and Kaplan-Meier survival analysis were performed. The data was analyzed using the SPSS version 16 statistical program (Chicago, Illinois, USA).

RESULTS

Characteristics of the Population

From December 2007 to July 2009, 108 patients (49 men; average age, 78.6 years; range, 50-92) were included in the study. The baseline characteristics of the population are shown in Table 1. All the patients had severe symptomatic aortic valve stenosis with an echocardiographically measured transaortic systolic gradient of 83.8 mm Hg (range, 34-163) and a mean of 55 mm Hg (range, 20-93). The average aortic valve area, calculated by echocardiogram prior to the procedure was 0.63 (0.2) cm². The average logistic EuroScore was 16% (13.9%) (range, 2.27%-86.4%), with 21.3% of patients scoring ≥20%, and 58.4% of the patients were in NYHA functional class III or IV.

TABLE 1. Baseline Characteristics of the Study Population

<table>
<thead>
<tr>
<th>Age, mean (SD), y</th>
<th>78.6 (6.7)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex male</td>
<td>49 (45.4%)</td>
</tr>
<tr>
<td>BMI, kg/m²</td>
<td>28 (5.2)</td>
</tr>
<tr>
<td>NYHA functional class</td>
<td></td>
</tr>
<tr>
<td>Class I</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Class II</td>
<td>45 (41.7%)</td>
</tr>
<tr>
<td>Class III</td>
<td>41 (38%)</td>
</tr>
<tr>
<td>Class IV</td>
<td>22 (20.4%)</td>
</tr>
<tr>
<td>Angina 28 (25.9%)</td>
<td></td>
</tr>
<tr>
<td>Coronary disease</td>
<td>36 (33.3%)</td>
</tr>
<tr>
<td>Previous surgical revascularization</td>
<td>9 (8.3%)</td>
</tr>
<tr>
<td>PCI prior to the procedure</td>
<td>15 (13.9%)</td>
</tr>
<tr>
<td>Logistic EuroSCORE, %</td>
<td>16 (13.9)</td>
</tr>
<tr>
<td>LV ejection fraction &lt;50%</td>
<td>16 (14.8%)</td>
</tr>
<tr>
<td>Creatinine &gt;1.5 mg/dL</td>
<td>18 (16.7%)</td>
</tr>
<tr>
<td>Cardiovascular risk factors</td>
<td></td>
</tr>
<tr>
<td>Diabetes mellitus</td>
<td>25 (23.1%)</td>
</tr>
<tr>
<td>Hypercholesterolaemia</td>
<td>55 (50.9%)</td>
</tr>
<tr>
<td>Hypertension</td>
<td>71 (65.7%)</td>
</tr>
<tr>
<td>History of smoking</td>
<td>18 (16.7%)</td>
</tr>
<tr>
<td>Echocardiographic parameters</td>
<td></td>
</tr>
<tr>
<td>Valve calcification</td>
<td></td>
</tr>
<tr>
<td>Absent</td>
<td>1 (0.9%)</td>
</tr>
<tr>
<td>Mild</td>
<td>16 (14.8%)</td>
</tr>
<tr>
<td>Moderate</td>
<td>48 (44.4%)</td>
</tr>
<tr>
<td>Severe</td>
<td>43 (39.8%)</td>
</tr>
<tr>
<td>Maximum gradient in mm Hg</td>
<td>83.8 (23)</td>
</tr>
<tr>
<td>Average gradient in mm Hg</td>
<td>55 (14.3)</td>
</tr>
<tr>
<td>Aortic valve area in cm²</td>
<td>0.63 (0.2)</td>
</tr>
<tr>
<td>Aortic ring in mm</td>
<td>22 (2)</td>
</tr>
<tr>
<td>VI ejection fraction, %</td>
<td>60 (13)</td>
</tr>
</tbody>
</table>

BMI indicates body mass index; EuroSCORE, European System for Cardiac Operative Risk Evaluation; NYHA, New York Heart Association Class; PCI, percutaneous coronary intervention.

The data is expressed as the mean (standard deviation) in the case of continuous variables and as a number (percentage) in the case of categorical variables.

Aortic valvuloplasty was performed prior to the procedure in 7 patients (6.5%). In 95.4% of cases vascular access was via the femoral artery. In 64 cases (59.3%) a small valve was implanted. The procedure was completed successfully in 106 cases (98.1%, Table 2). In 1 of the 2 failed cases, a second valve had to be implanted on top of the first one during the same procedure, owing to the fact that the first one was implanted too low and severe aortic regurgitation persisted, a good result being obtained after implantation of the second valve. In the other case, the aortic ring ruptured following valvuloplasty. Postdilation of the valve was performed in 25 cases (23.1%). None of the patients presented residual angiographic aortic regurgitation above grade 2. The haemodynamic peak-to-peak gradient after the procedure was 2.4 (4.2) mm Hg (Figure 2).
Complications of the Procedure

The complications derived from the procedure are shown in Table 2. Vascular complications occurred in 6 patients (5.6%), 2 of whom had a logistic EuroScore >20%. Three patients needed urgent operations, 2 due to failure of the percutaneous closure device and another to iliac rupture, and one of them, an immunodepressed patient with primary agammaglobulinaemia, died in a state of septic shock. One patient presented a femoral pseudoaneurysm, which remitted following the injection of thrombin and local compression. In 1 case there was a dissection of the ascending aorta at the level of the valsalva sinus caused by the dilator of a large valve sheath, which remitted when the valve was implanted. Another patient developed a substantial haematoma with anaemization, which required blood transfusion. As far as non-vascular complications are concerned, 3 patients developed cardiac tamponade, 1 due to perforation of the rigid preformed guide tube, another to perforation of the transitory pacemaker implanted during the procedure and the third as a result of rupture of the aortic ring after performing the aortic valvuloplasty. A patient who had undergone previous coronary revascularization with a permeable bridge between the left internal mammary artery and the anterior descending artery suffered a myocardial infarction, owing to dissection of the mammary artery during the procedure (left subclavian access), but was treated successfully by implanting a stent in the artery. In another case, when the aortic valve was implanted, dynamic obstruction to the blood flow in the left ventricle outflow tract developed as a result of anterior systolic movement of the mitral valve, accompanied by severe mitral regurgitation.

The maximum instantaneous transaortic gradient measured by echocardiogram was 12.6 (6) mm Hg. Percutaneous vascular access closure was successful in 104 cases (96.3%).

### Table 2. Data for the Procedure and Acute Complications

<table>
<thead>
<tr>
<th>Procedure data</th>
<th>Procedure data</th>
<th>Acute Complications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Femoral approach</td>
<td>103 (95.4%)</td>
<td>Failure of percutaneous closure 3 (2.8%)</td>
</tr>
<tr>
<td>Subclavian approach</td>
<td>5 (4.6%)</td>
<td>Cardiac tamponade 3 (2.8%)</td>
</tr>
<tr>
<td>Small prosthesis</td>
<td>64 (59.3%)</td>
<td>Iliac rupture 1 (0.9 %)</td>
</tr>
<tr>
<td>Poststilization of the prosthesis</td>
<td>25 (23.1%)</td>
<td>Aortic dissection 1 (0.9 %)</td>
</tr>
<tr>
<td>Residual aortic regurgitation grade</td>
<td>Grade 0: 30 (27.8%), Grade 1: 50 (46.3%), Grade 2: 26 (24.1%), Grades 3-4: 0</td>
<td></td>
</tr>
<tr>
<td>Haemodynamic peak-to-peak gradient following the procedure, mm Hg</td>
<td>2.4 (4.2)</td>
<td>Haematoma requiring transfusion 1 (0.9 %)</td>
</tr>
<tr>
<td>Maximum instantaneous transaortic gradient measured by echocardiogram, mm Hg</td>
<td>12.6 (6)</td>
<td>Dynamic obstruction of blood flow in LVOT with severe mitral regurgitation 1 (0.9%)</td>
</tr>
<tr>
<td>Success of the procedure</td>
<td>106 (98.1%)</td>
<td>Implantation of definitive pacemaker 38 (35.2%)</td>
</tr>
</tbody>
</table>

AMI indicates acute myocardial infarction; AR, aortic regurgitation; LVOT, left ventricle outflow tract.

*Quantified by angiography.

The data is expressed as the mean (standard deviation) in the case of continuous variables and as a number (percentage) in the case of categorical variables.

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Figure 2. Intraventricular and intra-aortic pressure gradients before (A) and after (B) implantation of the aortic prosthesis. In panel B a minimum residual aortic gradient can be seen.
which remitted when treated with intravenous beta blockers. Owing to the presence of AV blocks, a definitive pacemaker had to be implanted in 38 patients (35.2%).

**Follow-up at 1 Month**

During the hospitalization period 8 patients died (7.4%) (Table 3). The average logistic EuroScore of these patients was 23 (26.6) (range, 7-86.4). Two of the 8 patients who died had a logistic EuroScore >20%. Three patients died as a result of residual moderate-severe aortic regurgitation. In 2 of them, non-urgent cardiac surgery was carried out, with the result that both patients died, one in a state of cardiogenic shock 11 days after the procedure and another from a massive retroperitoneal haemorrhage 10 days after surgery. The causes of death in the other 5 patients who died were: perforation of the left ventricle by the rigid preformed guide tube, pericardial tamponade following valvuloplasty, cardiogenic shock in a patient with severe diastolic dysfunction and a normal valve, septic shock in an immunodepressed patient, and the impossibility of extubation in an elderly patient.

**Follow-up After the First Month**

After the first month of follow-up 7 patients died (6.5%) (Table 3), with a Euroscore of 12.6% (4.9%) (range, 6.8-18.6). After the first month of follow-up 6 patients died as a result of non-cardiological causes (multi-organ failure after radiotherapy used to treat spinocellular carcinoma, hypercapnic encephalopathy in a patient with severe chronic obstructive pulmonary disease, hypercapnic encephalopathy in a patient with pulmonary fibrosis, pulmonary thromboembolism, stroke, acute pancreatitis) and another died suddenly 5 months after the procedure. Overall survival after 1 year estimated using the Kaplan Meier method was 82.3% (Figure 3). The average follow-up period was 7.6 months.

**DISCUSSION**

The results of our study confirm previously published data which demonstrates that it is possible to perform implantation of the CoreValve® percutaneous aortic valve with a high success rate in patients with severe aortic stenosis. In 1 patient, a second valve had to be implanted immediately, due to low implantation associated with severe aortic regurgitation, and a good final result was achieved. In another patient, rupture of the aortic ring occurred following valvuloplasty. This implantation success rate (106/108; 98.1%) is higher than the success rate reported by Grube et al (88%) and similar to that of Piazza et al (97%).

Hospital mortality was 7.4%, lower than the result obtained applying the algorithm of the EuroSCORE (average, 16%). The comparison of the mortality rate with the EuroSCORE must be made with caution, given that there are studies which demonstrate that this predictive model may overestimate the mortality of these patients.

Despite these encouraging results, our attention is drawn to the high rate of acute complications derived from the procedure as a result of cardiac tamponade and vascular complications. With regard to the prevention of cardiac tamponade, we consider the correct preshaping of the rigid guide tube to be a very important factor, as well as the continual observation of its distal edge using fluoroscopic control to rapidly detect any displacements of the guide tube and the associated risk of perforation. The use of low-calibre endocavitary pacemakers (4 Fr)
and their correct and careful positioning is also very important. Vascular complications are associated with high mortality, so the careful manipulation of the device and the correct selection of patients is extremely important to avoid arterial rupture and bleeding. For all these reasons we believe that post-procedure care in a critical care unit is very important to monitor patients closely and to quickly detect and treat complications derived from the procedure.

The pacemaker implantation rate for our series (35.2%) concords with the latest data published by Grube et al. In 136 patients treated with the 3 generations of the CoreValve®, a definitive pacemaker was implanted in 33.3% of the patients treated with the third-generation valve; however, in 646 patients with aortic stenosis who had a CoreValve® implanted, the percentage of definitive pacemakers implanted was 9.3%. Both percentages are higher than those published in surgical series, which are around 6%-6.5%. The differences found between both studies with regard to the pacemaker implantation rate is explained by the fact that different policies were followed with respect to the definitive pacemaker implantation criteria. This high implantation rate may be due to the fact that many indications are prophylactic, given that we are faced with a new type of patient in whom we do not know how certain electrophysiologic disorders which have recently appeared, such as bradycardias or blocks of the left branch of the aorta, will evolve. In our series a patient who developed a left branch block after implantation (QRS width of 180 ms) suddenly died during follow-up. There is a need for specific studies which investigate these aspects to establish the indications for definitive pacemaker implantation in these patients.

As for mortality 1 month after the procedure (8 patients; 7.4%), 60% of the deaths occurred in the first patients included in the study. This figure again demonstrates the importance of the learning curve for the procedure and the concentration of mortality in this period, an aspect which has already been observed by other authors. Webb et al demonstrated with the Cribier Edwards valve that the accumulation of experience increases the success rate for the procedure, as the success rate for the first 25 patients who were treated was 76%, this rate increasing to 96% in the following 25 patients. When the mortality at 30 days was compared for both groups, a significant difference between both groups of treated patients was also observed (16% vs 8%).

From the point of view of the procedure, when it is compared with the Edwards-Sapien® aortic valve, the CoreValve® prosthesis presents certain advantages. It is implanted (both the 26 mm and 29 mm versions) by means of an 18-Fr introducer, while the Edwards-Sapien® prosthesis is implanted using 22-Fr (the 23 mm model) and 24-Fr (the 26 mm model) introducers. This is important, given that vascular complications represent a very significant percentage of the morbidity-mortality associated with the procedure. Also, high-frequency pacemaker over-stimulation is required for the implantation of the Edwards-Sapien® valve, while this is not necessary with CoreValve® implantation.

The measurement of haemodynamic pressure and gradients following the procedure demonstrates the efficacy of this new technique. As soon as the valve has been implanted, the aortic transvalvular gradients fall considerably and this is usually accompanied by aortic regurgitation, which is generally not severe. However, the data from our study shows that it was necessary to postdilate the valve, owing to the presence of an aortic regurgitation level >2 in 17.8% of cases, a figure which is similar to that in previous series. The consequences of this postdilation and its effect on the structure of the valve in the long term will be studied in future clinical trials involving long-term follow-up.

Limitations

In this multi-centre study we analyzed the results for CoreValve® aortic valve implantation in a limited number of patients and for a short follow-up period (average follow-up period, 7.6 months), without any comparison with a control group. The survival after 1 year demonstrated in our study must be interpreted with caution, given that we have a follow-up period longer than a year in 22 patients (Figure 3). We believe that studies with a greater sample size and a follow-up period of no less than 5 years are necessary to evaluate the efficacy of the device.

CONCLUSIONS

Our initial experience suggests that percutaneous aortic valve replacement constitutes a safe and viable therapeutic option for high operative risk patients with severe aortic stenosis.

REFERENCES

Aortic Prosthesis in Severe Aortic Stenosis: Early Experience in Spain


